

# Proxy Voting Results

## (Oct. to Dec. 2023)

### 1. Voting record on management proposals for Japanese companies (For, against, abstain, or carte blanche)

Management Proposals		For	Against	Abstain	Carte Blanche	Total Proposals	% against
Company Organization related proposals	Election/Removal of Directors	927	138	0	0	1,065	13.0%
	Election/Removal of Statutory Auditors	130	18	0	0	148	12.2%
	Election/Removal of Accounting Auditors	6	0	0	0	6	0.0%
Compensation related proposals	Remuneration <sup>*1</sup>	42	18	0	0	60	30.0%
	Retirement Bonus for Directors & Auditors	0	4	0	0	4	100.0%
Capitalisation related proposals (excluding amendment of articles)	Allocation of Income and Dividends	80	5	0	0	85	5.9%
	Company Reorganization <sup>*2</sup>	2	2	0	0	4	50.0%
	Anti-takeover	0	0	0	0	0	-
	Other Capitalisation <sup>*3</sup>	12	0	0	0	12	0.0%
Amendment of Articles		56	4	0	0	60	6.7%
Others		0	0	0	0	0	-
Total		1,255	189	0	0	1,444	13.1%

\*1 Includes revision of remuneration, stock options, performance related pay plans, executive bonuses, etc.

\*2 Includes merger, sale/transfer of business, equity transfer, stock splits, corporate separation, etc.

\*3 Includes share buybacks, decline in legal reserves, third party allotment, capital reduction, reverse equity splits, etc.

## 2. Voting record on shareholder proposals for Japanese companies (For, against, abstain, or carte blanche)

Shareholder Proposals		For	Against	Abstain	Carte Blanche	Total Proposals	% against
Company Organization related proposals	Election/Removal of Directors	0	7	0	0	7	100.0%
	Election/Removal of Statutory Auditors	0	0	0	0	0	-
	Election/Removal of Accounting Auditors	0	0	0	0	0	-
Compensation related proposals	Remuneration <sup>*1</sup>	0	0	0	0	0	-
	Retirement Bonus for Directors & Auditors	0	0	0	0	0	-
Capitalisation related proposals (excluding amendment of articles)	Allocation of Income and Dividends	0	2	0	0	2	100.0%
	Company Reorganization <sup>*2</sup>	0	0	0	0	0	-
	Anti-takeover	0	0	0	0	0	-
	Other Capitalisation <sup>*3</sup>	0	2	0	0	2	100.0%
Amendment of Articles		1	2	0	0	3	66.7%
Others		0	0	0	0	0	-
Total		1	13	0	0	14	92.9%

\*1 Includes revision of remuneration, stock options, performance related pay plans, executive bonuses, etc.

\*2 Includes merger, sale/transfer of business, equity transfer, stock splits, corporate separation, etc.

\*3 Includes share buybacks, decline in legal reserves, third party allotment, capital reduction, reverse equity splits, etc.