

# Proxy Voting Results

## (Oct. to Dec. 2022)

### 1. Voting record on management proposals for Japanese companies (For, against, abstain, or carte blanche)

Management Proposals		For	Against	Abstain	Carte Blanche	Total Proposals	% against
Company Organization related proposals	Election/Removal of Directors	888	133	0	0	1,021	13.0%
	Election/Removal of Statutory Auditors	108	19	0	0	127	15.0%
	Election/Removal of Accounting Auditors	13	0	0	0	13	0.0%
Compensation related proposals	Remuneration <sup>*1</sup>	24	16	0	0	40	40.0%
	Retirement Bonus for Directors & Auditors	0	7	0	0	7	100.0%
Capitalisation related proposals (excluding amendment of articles)	Allocation of Income and Dividends	85	3	0	0	88	3.4%
	Company Reorganization <sup>*2</sup>	2	2	0	0	4	50.0%
	Anti-takeover	0	2	0	0	2	100.0%
	Other Capitalisation <sup>*3</sup>	14	3	0	0	17	17.6%
Amendment of Articles		157	6	0	0	163	3.7%
Others		0	0	0	0	0	-
Total		1,291	191	0	0	1,482	12.9%

\*1 Includes revision of remuneration, stock options, performance related pay plans, executive bonuses, etc.

\*2 Includes merger, sale/transfer of business, equity transfer, stock splits, corporate separation, etc.

\*3 Includes share buybacks, decline in legal reserves, third party allotment, capital reduction, reverse equity splits, etc.

## 2. Voting record on shareholder proposals for Japanese companies (For, against, abstain, or carte blanche)

Shareholder Proposals		For	Against	Abstain	Carte Blanche	Total Proposals	% against
Company Organization related proposals	Election/Removal of Directors	0	3	0	0	3	100.0%
	Election/Removal of Statutory Auditors	0	0	0	0	0	-
	Election/Removal of Accounting Auditors	0	0	0	0	0	-
Compensation related proposals	Remuneration <sup>*1</sup>	0	0	0	0	0	-
	Retirement Bonus for Directors & Auditors	0	0	0	0	0	-
Capitalisation related proposals (excluding amendment of articles)	Allocation of Income and Dividends	0	0	0	0	0	-
	Company Reorganization <sup>*2</sup>	0	0	0	0	0	-
	Anti-takeover	0	0	0	0	0	-
	Other Capitalisation <sup>*3</sup>	0	1	0	0	1	100.0%
Amendment of Articles		0	0	0	0	0	-
Others		0	0	0	0	0	-
Total		0	4	0	0	4	100.0%

\*1 Includes revision of remuneration, stock options, performance related pay plans, executive bonuses, etc.

\*2 Includes merger, sale/transfer of business, equity transfer, stock splits, corporate separation, etc.

\*3 Includes share buybacks, decline in legal reserves, third party allotment, capital reduction, reverse equity splits, etc.