



## Nomura Receives "Five-Star" Rating in Eight Modules in 2024 PRI Assessment, improved from last year

**Tokyo, December 20, 2024**—Nomura Asset Management Co., Ltd. (NAM), the core company within the Investment Management Division of Nomura Group, today announced that it was awarded the highest rating of "Five Stars" in eight Modules in the 2024 Principles for Responsible Investment<sup>1</sup> assessment. This assessment involved NAM's initiatives in 2023 spanning a total of 10 modules.

The PRI secretariat assesses the implementation status of responsible investment on a five-star scale for each module based on reports that PRI signatories submit. Continuing from last year, NAM received the highest rating of "Five Stars" in Policy Governance and Strategy, three modules for Direct Listed Equity, and two modules for Direct Fixed Income. Additionally, Indirect Listed Equity and Indirect Fixed Income have improved ratings from "Four Stars" to "Five Stars", compared to last year. The increase in scores for section such as "External Manager Selection" contributed to this improvement.

			Module score	Module median	Star score	AUM coverage
Policy Governance and Strategy		97	61	****		
Direct	Listed equity	Active quantitative	100	69	****	<10%
		Active fundamental	100	72	****	<10%
		Passive	100	42	****	>=10 and <=50%
	Fixed income	SSA	95	60	****	>=10 and <=50%
		Corporate	97	67	****	<10%
	Hedge funds	Long/short credit	0	45	* * * * *	<10%
Indirect	Listed equity	Active	94	61	****	<10%
	Fixed income	Active	100	61	****	<10%
Confidence building measures			78	80	* * * * ☆	

## 2024 PRI Assessment results

<sup>&</sup>lt;sup>1</sup>The PRI (Principles for Responsible Investment) is a set of investment principles proposed by UN Secretary-General Kofi Annan in 2006 and designed to reflect environmental, social and governance (ESG) in investment analysis and decision-making processes. NAM signed PRI in March 2011 and is strengthening its activities related to responsible investment.

As a responsible institutional investor, in addition to contributing to client wealth building through our asset management business, NAM supports the creation of social value by the companies held in our portfolios and pursues a virtuous cycle of investment (investment chain) through our stewardship. We believe that this improvement reflects the long-term cumulative results of our systematic and ongoing efforts to anticipate needs and changes of the times and incorporate them into our ESG-related initiatives.

NAM will continue to address ESG issues while working to secure its place as the Japanese asset manager preferred by clients globally.

For further details, please refer to the link below. <u>Private Assessment Report 2024(1,408KB)</u> <u>Public Full Transparency Report 2024(1,727KB)</u>

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## Nomura

Nomura is a global financial services group with an integrated network spanning over 30 countries and regions. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Retail, Investment Management, and Wholesale (Global Markets and Investment Banking). Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit <u>www.nomura.com</u>