



7 August, 2020 Nomura Asset Management Co., Ltd.

Result of 2020 Principles for Responsible Investment (PRI) assessment

Nomura Asset Management Co., Ltd. hereby announces that it has received the results of the annual Principles for Responsible Investment (hereinafter "PRI") assessment for 2020 (assessment period: April 2019 – March 2020). We received the highest "A+" in all categories for which we made the report.

PRI Annual Assessment Results		
	FY 2019 -	PRI
	2020	Median Score
Strategy and Governance	A+ (→)	А
Status of integration of	A+ (↑)	А
responsible investments in		
listed stocks		
Active ownership of listed stocks	A+ (→)	В
Engagement	A+ (→)	
Proxy Voting	A+ (↑)	
Bond investments (government	A+ (↑)	В
bonds, etc.)		
Bond investments (corporate	A+ (↑)	В
Bonds/Financial)		
Bond investments (corporate	A+ (↑)	В
Bonds/Non-Financial)		

*Changes from last year are shown in parentheses.

The Principles for Responsible Investment (PRI) was advocated in 2006 by the United Nations. This initiative comprises six principles. These principles provide a framework to incorporate environmental (E: Environment), social (S: Social) and corporate governance (G: Governance) issues into mainstream investment decision-making. We have agreed to the basic philosophy behind PRI and became a signatory to these principles on 1st March 2011.

PRI carries out an annual assessment based on how a signatory has progressed year-on-year relative to peers. The investment categories are evaluated using 6 performance bands from "E" to "A+", where "A+" distinguishes the top scoring signatories.





We believe that our acquisition of "A+" for "Status of integration of responsible investments in listed stocks", "Proxy Voting" and "Bond Investments" was because of our continuous development of ESG integration including bond investments and engagement, and advancement of the proxy voting process.

As a responsible institutional investor, Nomura Asset Management will continue to aim to realize a sustainable and prosperous society by helping clients to build wealth.

