

How to Request Disclosure of Personal Information in Nomura Asset Management's Possession

Nomura Asset Management Co., Ltd.

1. Where to Submit Requests for Disclosure

Please submit requests for disclosure of personal information in writing. When making a request, please submit the specified form and other required documentation (refer to 2: Required Documentation) to the following address.

Personal information consulting Office, Corporate Planning Dept.
Nomura Asset Management Co., Ltd.
2-2-1, Toyosu, Koto-ku, Tokyo 135-0061
Telephone: 03-6387-4028

* "Requests for disclosure of personal information" includes the following types of requests from the individual in question pursuant to the Act on Protection of Personal Information (the "Act").

- Notice of the intended uses of the information (Article 27, Paragraph 2 of the Act);
- Disclosure of information (Article 28, Paragraph 1 of the Act);
- Correction of, addition to, or deletion of information (Article 29, Paragraph 1 of the Act);
- Termination of use and deletion of information (Article 30, Paragraph 1 of the Act);
and
- Termination of provision of information to third parties (Article 30, Paragraph 3 of the Act).

2. Required Documentation

When making a request for disclosure of personal information, please submit the following documentation by mail.

(1) The Application for Disclosure of Personal Information specified by Nomura Asset Management.

(2) The following documentation verifying identity.

(a) In the case of a request by the individual in question:

A copy obtained within 6 months of a certificate of resident's certificate, certificate of items stated in resident register, or certificate of seal impression

A photocopy of health insurance card, driver's license, basic resident register card (including name, address, and date of birth), physical disability certificate, passport, pension handbook (issued before December 31, 1996), resident card, or special permanent resident certificate

(b) In the case of a request by a representative

Legal Representative

Documentation establishing the relationship with the individual in question such as a

certificate of qualification issued within 3 months, a copy obtained within 6 months of family register, family register abstract or resident's certificate and the identifying documentation specified in (a) for the legal representative.

Appointed Representative

A power of attorney (with a registered seal) prepared by the individual in question (or their legal representative), a certificate of seal impression, and identifying documentation specified in (a) for the appointed representative.

(3) 1,100 yen (including tax) postage stamps (refer to 3: Fees)

3. Fees

To cover costs of responding (postage), please include 1,100 (including tax) yen in postage stamps with each application.

4. Personal Information That Is Subject to Disclosure

Information such as name, address, telephone number, and date of birth.

5. Intended Use of Personal Information Collected in Relation to requests for Disclosure of Personal Information

Personal information collected in relation to requests for disclosure of personal information will be used only to the extent necessary for procedure relating to the disclosure request.

6. Response Method

Responses to requests for disclosure of personal information will be made in writing (responses will be mailed to the requesting individual's specified address). Responses cannot be made in some cases in accordance with applicable law (in such cases, notice to this effect will be provided).