

Proxy Voting Results

(Apr. to Jun. 2021)

1. Voting record on management proposals for Japanese companies (For, against, abstain, or carte blanche)

Management Proposals		For	Against	Abstain	Carte Blanche	Total Proposals	% against
Company Organization related proposals	Election/Removal of Directors	12,936	861	0	0	13,797	6.2%
	Election/Removal of Statutory Auditors	1,187	162	0	0	1,349	12.0%
	Election/Removal of Accounting Auditors	58	0	0	0	58	0.0%
Compensation related proposals	Remuneration * ¹	604	164	0	0	768	21.4%
	Retirement Bonus for Directors & Auditors	16	69	0	0	85	81.2%
Capitalisation related proposals (excluding amendment of articles)	Allocation of Income and Dividends	1,047	47	0	0	1,094	4.3%
	Company Reorganization * ²	33	2	0	0	35	5.7%
	Anti-takeover	2	46	0	0	48	95.8%
	Other Capitalisation * ³	36	4	0	0	40	10.0%
Amendment of Articles		355	8	0	0	363	2.2%
Others		0	1	0	0	1	100.0%
Total		16,274	1,364	0	0	17,638	7.7%

*1 Includes revision of remuneration, stock options, performance related pay plans, executive bonuses, etc.

*2 Includes merger, sale/transfer of business, equity transfer, stock splits, corporate separation, etc.

*3 Includes share buybacks, decline in legal reserves, third party allotment, capital reduction, reverse equity splits, etc.

2. Voting record on shareholder proposals for Japanese companies (For, against, abstain, or carte blanche)

Shareholder Proposals		For	Against	Abstain	Carte Blanche	Total Proposals	% against
Company Organization related proposals	Election/Removal of Directors	4	31	0	0	35	88.6%
	Election/Removal of Statutory Auditors	0	2	0	0	2	100.0%
	Election/Removal of Accounting Auditors	0	0	0	0	0	-
Compensation related proposals	Remuneration ^{*1}	0	3	0	0	3	100.0%
	Retirement Bonus for Directors & Auditors	0	0	0	0	0	-
Capitalisation related proposals (excluding amendment of articles)	Allocation of Income and Dividends	0	10	0	0	10	100.0%
	Company Reorganization ^{*2}	0	0	0	0	0	-
	Anti-takeover	1	0	0	0	1	0.0%
	Other Capitalisation ^{*3}	0	10	0	0	10	100.0%
Amendment of Articles		10	94	0	0	104	90.4%
Others		0	0	0	0	0	-
Total		15	150	0	0	165	90.9%

*1 Includes revision of remuneration, stock options, performance related pay plans, executive bonuses, etc.

*2 Includes merger, sale/transfer of business, equity transfer, stock splits, corporate separation, etc.

*3 Includes share buybacks, decline in legal reserves, third party allotment, capital reduction, reverse equity splits, etc.