

Nomura Receives “Five-Star” Rating in Six Modules in 2023 PRI Assessment

Tokyo, February 2, 2024—Nomura Asset Management Co., Ltd. (NAM), the core company within the Investment Management Division of Nomura Group, today announced that it was awarded the highest rating of “Five Stars” in six Modules in the 2023 Principles for Responsible Investment¹ assessment. This assessment involved NAM’s initiatives in 2022 spanning a total of 10 modules.

The PRI secretariat assesses the implementation status of responsible investment on a five-star scale for each module based on reports that PRI signatories submit. The scores for 8 modules out of 10 modules greatly exceeded the respective median values. Among them, NAM received the highest rating of “Five Stars” in Policy Governance and Strategy, three modules for Direct Listed Equity, and two modules for Direct Fixed Income.

2023 PRI Assessment results

		Module score	Module median	Star score	AUM coverage	
Policy Governance and Strategy		98	59	★ ★ ★ ★ ★		
Direct	Active quantitative	100	65	★ ★ ★ ★ ★	<10%	
	Listed equity	Active fundamental	100	71	★ ★ ★ ★ ★	<10%
		Passive	100	42	★ ★ ★ ★ ★	>=10 and <=50%
	Fixed income	SSA	95	59	★ ★ ★ ★ ★	>=10 and <=50%
		Corporate	97	68	★ ★ ★ ★ ★	<10%
	Hedge funds	Long/short credit	0	40	★ ☆ ☆ ☆ ☆	<10%
Indirect	Listed equity	Active	82	57	★ ★ ★ ★ ☆	<10%
	Fixed income	Active	88	57	★ ★ ★ ★ ☆	<10%
Confidence building measures		60	80	★ ★ ★ ☆ ☆		

As a responsible institutional investor, in addition to contributing to client wealth building through our asset management business, NAM supports the creation of social value by the companies held

¹The PRI (Principles for Responsible Investment) is a set of investment principles proposed by UN Secretary-General Kofi Annan in 2006 and designed to reflect environmental, social and governance (ESG) in investment analysis and decision-making processes. NAM signed PRI in March 2011 and is strengthening its activities related to responsible investment.

in our portfolios and pursues a virtuous cycle of investment (investment chain) through our stewardship. We believe that this evaluation reflects the long-term cumulative results of our systematic and ongoing efforts to anticipate needs and changes of the times and incorporate them into our ESG-related initiatives.

NAM will continue to address ESG issues while working to secure its place as the Japanese asset manager preferred by clients globally.

For further details, please refer to the link below.

[Private Assessment Report 2023](#)

[Public Full Transparency Report 2023](#)

ends

Nomura

Nomura is a global financial services group with an integrated network spanning over 30 countries and regions. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Retail, Investment Management, and Wholesale (Global Markets and Investment Banking). Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com