



Nomura Asset Management Awarded Best Fund Provider in 10th

Asian Private Banker Awards for Excellence

Tokyo, January 5, 2023—Nomura Asset Management Co., Ltd. (NAM), the core company within the Investment Management Division of Nomura Group, today announced that it has received two awards from Asian Private Banker’s¹ 10th Asset Management Awards for Excellence, including **2023 Best Fund Provider of Japan Equity and High Yield Bond**.

Award Category	Fund Name
 <p>2023 ASIAN PRIVATE BANKER ASSET MANAGEMENT AWARDS FOR EXCELLENCE</p> <p>BEST FUND PROVIDER JAPAN EQUITY</p>	Nomura Funds Ireland – Japan Strategic Value Fund
 <p>2023 ASIAN PRIVATE BANKER ASSET MANAGEMENT AWARDS FOR EXCELLENCE</p> <p>BEST FUND PROVIDER HIGH YIELD BOND</p>	Nomura Funds Ireland – US High Yield Bond Fund

The 10th annual Asset Management Awards identifies and honors the region’s best third-party providers of asset management products and services to private banks and wealth managers in Asia Pacific. The winners are selected by Asian Private Banker’s Judging Panel and consultations with private banking fund selectors.

NAM is pleased and honored to be recognized with the awards in a year that will be remembered for its challenging market conditions. The awards underline NAM's prowess as one of the leading Japan Equity and High Yield Bond Fund providers among the industry with an experienced investment team and proven process that has allowed NAM to navigate through difficult times.

NAM will continue striving to improve investment performance and build competitive products and services across regions and markets, with the aim of becoming the asset manager of choice for clients around the world.

¹Based in Hong Kong, Asian Private Banker is a multi-platform media company established in 2009 that provides news on trends and regulations related to the private banking and wealth management industries.

About the 10th Asset Management Awards

Please visit the website below for more detail about Asian Private Banker award for Excellence.

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<https://asianprivatebanker.com/awards/asset-management-awards-for-excellence-2023/>

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Nomura

Nomura is a global financial services group with an integrated network spanning over 30 countries and regions. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Retail, Investment Management, and Wholesale (Global Markets and Investment Banking). Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com.

IMPORTANT INFORMATION FROM NOMURA ASSET MANAGEMENT

This report was prepared by Nomura Asset Management Co., Ltd. For information purposes only. Although this report is based upon sources we believe to be reliable, we do not guarantee its accuracy or completeness. Unless otherwise stated, all statements, figures, graphs, and other information included in this reports are as of the date of this report and are subject to change without notice. The contents of this report are not intended as solicitation or recommendation with respect to the purchase or sale of any particular investment. This report may not be copied, re-distributed, or reproduced in whole or in part without the prior written approval of Nomura Asset Management Co., Ltd.

■ Investment Risks Associated with Financial Products

The financial instrument transactions conducted on behalf of the client shall include investments made in shares, bonds with new share warrants, bonds issued by public corporations, and other instruments (including cases where investments are made via investment trusts and through Limited Partnerships). Consequently, the prices of shares and other investments may decline as a result of the effects of domestic and overseas economic variables and political circumstances, fluctuations in interest rates, and changes in the performance and financial standing of the issuing entities, resulting in investment losses.

Financial products may also make use of derivative transactions. Such transactions utilize leverage in excess of the margin amount, and if prices change as a result of the fluctuations in the securities and indexes that serve as the underlying assets, it is possible that losses in excess of the amount of the margin deposited will be incurred. Also, the leverage rates vary continuously as a result of changes in investment policies and domestic and overseas market environments, and consequently they cannot be specified in advance. During the terms of derivative transactions, Nomura Asset Management shall deposit margins taken from the contract assets in amounts that it determines to be suitable based on calculations performed by the securities companies with which the orders are placed.

■ Costs Associated with Financial Products (Fees and Other Costs)

All information in this report is intended for information purposes only and does not constitute a solicitation of an offer to buy any investment product nor to enter into an investment advisory agreement with Nomura Asset Management to any persons. The following fees and expenses are charged to investors of our investment products. We can only provide a general description of our fee calculation formula here, as fee rates will vary depending on the specific product characteristics or the specific contract with each of our clients.

As a consideration of our investment advisory services, fees equivalent to the sum of the assets under contract multiplied by the agreed-upon percentage fee rate (%) will be charged depending on the length of the contract. [Calculation formula] Assets amount under contract x fee rate (%) x length of the contract (days) / 365

Nomura Asset Management may, based on its discretionary investment decisions, purchase investment trust products to be included in a portfolio under a discretionary investment contract. In such cases, expenses such as investment trust management fees, sales company and management company fees, and fees charged when converting investment trusts to cash would incur. In cases where investment trust products are issued by our own company or one of our group companies, the investment advisory fees may be adjusted to avoid double payment of management fees. The adjustment calculation methods are specified in each contract. Total investment advisory fees paid by the client shall amount

to investment advisory fees less the investment management fees associated with the purchased investment trust products.

Based on mutual agreement, we may adopt a performance-based fee structure or a combination of performance-based fee structure and the flat fee structure mentioned above. We are unable to provide detailed calculation or the maximum fee for performance-based fee structure as the actual advisory fee varies depending on the investment performance of the relevant strategy, and the agreed terms with each client. Performance-based fee structures will be negotiated individually between each client and Nomura Asset Management.

Separate from the investment advisory fees, securities trading consignment fees and expenses related to the custody of securities will also be deducted from the contracted assets. It is not possible to specify the rate or maximum amount in advance as such fees would vary depending on the status of the investment.

Trade name: Nomura Asset Management Co., Ltd.
Director of Kanto Local Finance Bureau (Financial Instruments Firms) No.373
Membership: The Investment Trusts Association, Japan/
Japan Investment Advisers Association/
Type II Financial Instruments Firms Association