

## Nomura Lists Three New ETFs Designed to Track Performance of S&P 500 Index, S&P 500 in TTM Rates JPY Hedged Index and S&P 500 ESG Index on TSE

**Tokyo, March 31, 2021**—Nomura Asset Management Co., Ltd., the core company within the Asset Management Division of Nomura Group, today announced that it has listed three ETFs designed to track the performance of the S&P 500 index, the S&P 500 in TTM Rates JPY Hedged Index, and the S&P 500 ESG Index, respectively, on the Tokyo Stock Exchange (TSE).

Code	Name	Index	Management Fee*
2633	NEXT FUNDS S&P 500 (Unhedged) Exchange Traded Fund	JPY converted S&P 500 Index	0.077% annually (0.07%, tax excluded)
2634	NEXT FUNDS S&P 500 (Yen-Hedged) Exchange Traded Fund	S&P 500 in TTM Rates JPY Hedged Index	0.077% annually (0.07%, tax excluded)
2635	NEXT FUNDS S&P 500 ESG Index Exchange Traded Fund	JPY converted S&P 500 ESG Index	0.143% annually (0.13%, tax excluded)

\* Management fee rates are as of March 29, 2021.

From today, investors can trade the ETFs on the TSE through securities dealers and traders in Japan.

Nomura Asset Management listed its first ETF in Japan in May 1995. Including ETFs structured and listed overseas, the new listings bring ETFs in Nomura's NEXT FUNDS range to a total of 61.

For further details on the ETFs, please refer to the links below.

<http://global.nomura-am.co.jp/nextfunds/information/2633.html>

<http://global.nomura-am.co.jp/nextfunds/information/2634.html>

<http://global.nomura-am.co.jp/nextfunds/information/2635.html>



† “NEXT FUNDS” is the brand name for the ETF product range of Nomura Asset Management Co., Ltd., representing “**N**omura **E**xchange **T**raded **F**unds”.

ends

## Nomura

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The contents of this material are based on an English translation of a Japanese announcement made on March 31, 2021 by Nomura Asset Management Co., Ltd. Whilst every effort has been made to translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed, therefore please refer to the original Japanese document.

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Furthermore, none of the shares of the Fund have been or will be registered under the United States Securities Act of 1933, as amended ("1933 Act"), or under the securities laws of any state or political subdivision of the United States of America or any of its territories, possessions or other areas subject to its

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### **Disclosures required in Japan**

Registered Company Name: Nomura Asset Management Co., Ltd.

Registration Number: Director of the Kanto Local Financial Bureau (Financial instruments firms) No. 373

Member Associations: The Investment Trusts Association, Japan; Japan Securities Investment Advisers Association; Type II Financial Instruments Firms Association

This document is issued for reference purposes to explain the outline of "NEXT FUNDS S&P 500 (Unhedged) Exchange Traded Fund", "NEXT FUNDS S&P 500 (Yen-Hedged) Exchange Traded Fund", and "NEXT FUNDS S&P 500 ESG Index Exchange Traded Fund" and does not constitute disclosure material based on the Financial Instruments and Exchange Law. Moreover, this document is not in any way intended to be an investment solicitation. Before investing in the Fund, prospective investors should carefully read the “Securities Registration Statement”, “the Prospectus”, and the “Document Prior to Conclusion of Contract” under your own responsibilities and based on your own judgment.

Nomura Asset Management Co., Ltd. does not directly handle order requests for the Fund from investors. To invest in the Fund, it is necessary to open an account with a nearby Type-1 financial instruments business provider (securities firm) that handles ETFs and make a request to the broker.

Since the Fund invests primarily in securities and future contracts, there is a risk that the market price of such securities or the NAV per unit of the Fund could decline and cause an investment loss due to a decline in the stock price index, a price decline in securities comprising the index, the bankruptcy of a stock issuer, or deterioration in the financial conditions of an issuer, in addition to other market factors.

### **AN INVESTOR’S PRINCIPAL IS THEREFORE NOT GUARANTEED.**

### **AN INVESTOR SHALL BEAR THE FOLLOWING COSTS WHEN INVESTING IN THE FUND.**

#### **Trading Fee**

Trading of the Fund incurs brokerage commission fees set by a Type-1 financial instruments business provider (securities firm) that handles the transaction. These commissions are separate from the actual transaction value. (Because the commissions charged by each securities firm differ, it is not possible to specify a maximum amount.)

#### **Management fees** (fees are charged during the trust period according to the length of the trust period)

The total management fee is obtained by adding the amount determined in (2) below to the amount determined in (1) below.

Management fees are paid from the trust assets, and therefore are charged indirectly according to the period that the ETF is held.

(1) The amount obtained by multiplying the total net assets by a rate determined by the Management Company not to exceed 1.045% annually\* (0.95% exclusive of taxes). \* The maximum management fee of

each ETF is indicated above. For Nikkei 300 Exchange Traded Fund the management fees are calculated based on the Fund's principal.

(2) If the securities belonging to the trust assets have been loaned, an amount no more than 55%\* (50% exclusive of taxes) of the loan fees. \* The highest loan fee of the ETFs is indicated.

Other fees (other fees may be imposed when applicable during the trust period) ETF-related taxes, expenses necessary for trust administrative procedures (including various expenses necessary for safekeeping of overseas assets), interest on advances provided by the trustee, sales commission fees incurred when securities included in the fund are traded, audit fees, other expenses (including expenses relating to listing of Beneficiary Interests and fees for the use of trademarks to subject indexes), and consumption taxes on these fees are incurred, when applicable, during the trust period. These expenses are paid from the trust assets and are charged indirectly during the period that the ETF is held. Other expenses will vary according to investment circumstances, and consequently, rates and maximum amounts cannot be specified in advance. For further details, please refer to the "Costs and Taxes of the Fund" section in the prospectus. Please note that the prospectus is available in Japanese only. Nomura Asset Management Co., Ltd. does not directly handle requests for ETFs from investors. To invest in an ETF, it is necessary to open an account with a nearby first financial instruments business provider (securities firm) that handles ETFs and make a request to the broker. Nomura Asset Management Co., Ltd. has attempted to provide complete information in this document, but it provides no guarantees concerning its content. Nomura Asset Management Co., Ltd. and financial instrument exchanges bear no liability whatsoever for losses incurred as a result of the information in this document. In addition, use of the information in this document for commercial purposes, and modification, reuse, and redistribution for provision to third parties are strictly prohibited.